

#12877  
3/13/02  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Toshikazu MUKAIHARA, et al.  
Serial No.: 09/513,702  
Filed: February 25, 2000  
For: SEMICONDUCTOR LASER DEVICE

Art Group Unit: 2877

Examiner: Delma R. Flores Ruiz

Attorney Docket: 00120/HG (07742-34)

RECEIVED  
FEB 13 2002  
TC 2800 MAIL ROOM

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citation listed on the enclosed PTO-1449 Form is submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted after the mailing of the first Office Action for the above-identified application and, to the knowledge of the undersigned, before the mailing of either a final action or a notice of allowance. Accordingly, it is believed by the undersigned that a petition and petition fee under 37 C.F.R. §1.97(d) are not required.

A check in the amount of \$180 is enclosed to cover the fee under 37 C.F.R. §1.17(p). The Commissioner is hereby authorized to charge any additional fees, or additional monies to cover an underpayment by the enclosed check or the inadvertent omission of this check, to deposit account No. 13-0201. **A duplicate copy of this Statement is attached**

herewith and is only to be used if the Office needs to make a charge against the deposit account.

Citations B2-B16 are Japanese Patent Documents in the Japanese Language. An English-language abstract and/or a translation of each of these Citations is attached to the front or back of the corresponding document. In addition, a translation of each Citations B3-B5, B9-B10, and B14-B16 is attached to the back of the corresponding document.

Japanese Published Patent Applications JP-9-9-83070 and P-9-260766 were previously provided in Applicants' first Information Disclosure Statement. Translations of these two documents have been subsequently obtained and are included herewith as Citations C25 and C26, respectively.

Citations C14, C16, C18, C20, C22, and C24 are in the Japanese Language. It is noted that Citations C13, C15, C17, C19, C21, and C23 appear to be the substantial English language equivalents thereof. Citations C14, C16, C18, C20, C22, and C24 are from the journal Furukawa Electric Review, whereas Citations C13, C15, C17, C19, C21, and C23 are from the journal Furukawa Review.

It is noted that the filing date of the Application precedes the publication dates of Citations C13, C15, C17, C19, C21, and C23. It is also noted that the filing date of the priority document for this Application precede the publication dates of Citations C14, C16, C18, C20, C22, and C24.

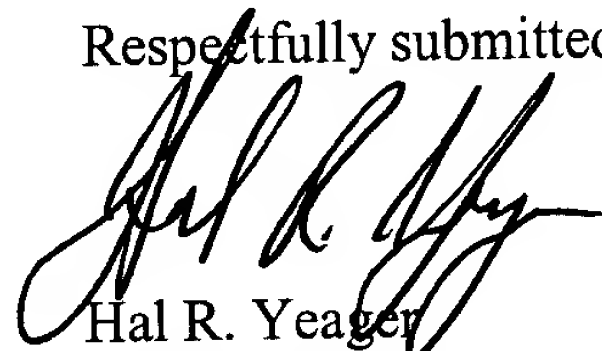
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No. 09/513,702

Page 3

This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,



Hal R. Yeager

Registration No. 35,419

February 9, 2002

COUDERT BROTHERS, LLP

600 Beach Street, 3<sup>RD</sup> Floor

San Francisco, CA 94109

Telephone: (415) 409-2900

Telefax: (415) 409-7400